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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)** 180.00

**Complete if Known**

Application Number	10/824,666
Filing Date	April 14, 2004
First Named Inventor	ANCONA
Examiner Name	
Art Unit	
Attorney Docket No.	1522/72065/NHZ

**METHOD OF PAYMENT (check all that apply)**

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
Deposit Account Name  
03-3125

COOPER &amp; DUNHAM LLP

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b> <b>(\$)</b> 0			

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20**	=	
			- 3**	=	
				=	

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b> <b>(\$)</b> 0		

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	\$180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)** 180.00**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Norman H. Zivin	Registration No. (Attorney/Agent)	25,385	Telephone	212-278-0400
Signature				Date	June 21, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Dkt. 72065

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bruce ANCONA and Louis HENRY  
Serial No. : 10/824,666  
Filed : April 14, 2004  
For : A KNIFE AND KITCHEN TOOL STERILIZER AND  
HOLDER

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

In accordance with their duty of disclosure under 37 C.F.R. §1.56, Applicants desire to call to the attention of the Patent and Trademark Office, in connection with the examination of this application, several issued patents and publications. The disclosures cited might be considered material to the examination.

Each of the several disclosures relates to a sterilizer. It is submitted that none of the patents or publications disclose the present invention as claimed.

Form PTO-1449 and copies of the patents cited are attached.

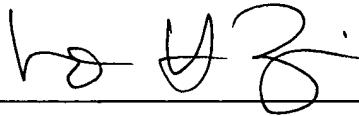
06/28/2004 TTRAN1 00000026 10824666

01 FC:1806

Applicants: Bruce ANCONA and Louis HENRY  
Serial No.: 10/824,666

The fee provided by 37 C.F.R. §1.17(p) in the amount of \$180.00 for submission of an IDS is enclosed. If any additional fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,



Dated: June 21, 2004

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
Norman H. Zivin	6/21/04 Date
Reg. No. 25,385	

Norman H. Zivin  
Registration No. 25,385  
c/o Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400  
Attorney for Applicants

Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b> (Use several sheets if necessary)										Atty. Docket No. 1522/72065/NHZ	Serial No. 10/824,666		
										Applicant ANCONA et al.			
										Filing Date April 14, 2004	Group		
<b>U.S. PATENT DOCUMENTS</b>													
Examiner Initial	Document Number							Date	Name		Class	Subclass	Filing Date if Appropriate
	AA	4	0	1	7	7	3	6	04/12/1977	Ross			
	AB	4	0	4	7	3	9	3	09/13/1977	Hanson			
	AC	4	8	7	7	9	6	4	10/31/1989	Tanaka			
	AD	5	4	5	3	0	4	9	09/26/1995	Tillman, Jr.			
	AE	5	8	3	7	2	0	7	11/17/1998	Summers			
	AF	5	8	9	3	9	3	9	04/13/1999	Rakocy			
	AG	5	9	0	2	5	5	2	05/11/1999	Brickley			
	AH	5	9	2	0	0	7	5	07/06/1999	Whitehead			
	AI	5	9	6	8	4	5	5	10/19/1999	Brickley			
	AJ	5	9	9	7	6	1	9	12/07/1999	Knuth			
	AK	6	0	0	4	3	6	5	12/21/1999	Fiacco			
	AL	6	0	3	9	9	2	6	03/21/2000	Goldman			
	AM	6	1	5	6	0	8	5	12/05/2000	Chiu			
	AN	6	1	5	6	0	8	8	12/05/2000	Cardarelli			
	AO	6	1	7	1	5	4	8	01/09/2001	Rose			
	AP	6	2	8	6	3	3	0	09/11/2001	Kopf			
	AQ	6	4	5	4	8	4	1	09/24/2002	Kaiser			
	AR	6	4	6	4	7	6	0	10/15/2002	Sham			
	AS	6	5	7	6	1	8	8	06/10/2003	Rose			
	AT												
	AU												
<b>FOREIGN PATENT DOCUMENTS</b>													
		Document Number					Date	Country		Class	Subclass	Translation	
												Yes	No
	AV												
	AW												
	AX												
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>													
	AY												
	AZ												
EXAMINER					DATE CONSIDERED								
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													